SAMRAT FORGINGS LIMITED

CIN: U28910CH1981PLC004522

Regd. Office: #406, First Floor, Sector 15-A, Chandigarh - 160015

Tel: 0172-2774555, Email: info@samratforgings.com, Website: www.samratforgings.com

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of the Entity: Samrat Forgings Limited

2. Scrip Code/Name of Scrip/Class of Security: Equity

3. Shareholding Pattern filed under: Reg. 31(1)(b)

4. Report for the quarter ended: 31st December, 2019

5. Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any Shares in locked-in?	-	No
5	Whether any shares held by promoters are pledged or otherwise encumbered?	Yes	-

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tebular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of	No. of	No. of fully	No. of partly	No. of shares	Total Nos.	Shareholding	Number of V	otina Riaht	s held in ea	ch class of	No. of Shares	Shareholding			Numbe	er of	Number of
		Shareholders		paid up equity			as a % of total		secur				as a % assuming	Nu	mber of	shares ple		equity shares
				shares held		held	no. of shares					outstanding	full conversion of	locked	d in shares	otherwise en		
					Receipts		(calculated as per SCRR, 1957)	No. of Voting	g Rights		Total as a % of (A+B+C)	convertible securities (including warrants)	convertible securities (as a percentage of diluted share				As a % of	dematerialized form f
								Class eg: X (having voting rights)	Class Eg: Y	Total		warrants	capital)	No.	As a % of total shares held (b)	No. (a)	total shares held (b)	
						(VII)= (IV)+	(VIII)		ļ				(XI) = (VII)+(X)	(- /				
(I)	(II)	(III)	(IV)	(V)	(VI)	(V)+(VI)	As a % of (A+B+C2)		(IX)	1	(X)	As a % of (A+B+C2)		(XII)	(XII	1)	(XIV)
(A)	Promoter & Promoter Group	10	3749061		0	3749061	74.98	3749061	0	3749061	74.98		74.98	, ا) 0	857600	22.88	3731340
(B)	Public	741			0	1250939	25.02	1250939		1250939			25.02			- 037000	. 22.00	- 717050
	Non Promoter-				-							-						
(C)	Non Public	0	0	0	0	0	0.00	0	0	0	0	0	0	C	0	0	0) 0
	Shares																	
(C1)	underlying DRs	0	0	0	0	0	0.00	0	0	0	0	0	0		0	0	0	, 0
(C2)	Shares held by						0.00			_				٫ ا				
(C2)	Employee Trusts Total	751	500000	0	0	5000000	0.00 100.00	500000	0	5000000	100.00	1 0	100.00) 0	1		4448390
	Total	/51	5000000	U	U	5000000	100.00	5000000	U	5000000	100.00	Ų	100.00		U			4448390

Note: Details relating to number of shares pledged or otherwise encumbered by Public Shareholders are not applicable and hence not given above.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of Shareholders			underlying	Total Nos. shares held	Shareholding as a % of total no. of shares		of secu			underlying outstanding	Shareholding as a % assuming full conversion of	-	mber of d in shares	shares	ber of oledged or encumbered	
						Deposity Receipts		(calculated as per SCRR, 1957)	No. of Votin		1=	Total as a % of (A+B+C)	convertible securities (including warrants)	convertible securities (as a percentage of diluted share		As a % of		As a % of	dematerialized form
									Class eg: X (having voting rights)	Class Eg: Y	Total			capital)	No. (a)	shares held (b)	No. (a)	shares held (b)	
	(0)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+ (V)+(VI)	(VIII) As a % of (A+B+C2)		(IX)		(X)	(XI) = (VII)+(X) As a % of (A+B+C2)		(XII)	(XIII)	(XIV)
(1)	Indian	` '	. ,	` '	` ′	` '	. , , ,	,		,			` '	,		ì	,	L	` ′
	Individuals/ Hindu undivided																		
(a)	Family		6	1270160			1270160	25.40	1270160	0	1270160	25.40	م ا	25.40	، ا	ا ا	857600	67.52	1270140
(α)	Smt. Bindu		<u> </u>	12.0.00		•	1210100	201.10	12.0.00		1270100	20.10	 	20110	·	1	007000	07.02	1270110
	Chowdhary	AFJPC8278A		20	0	0	20	0.00	20	0	20	0.00		0.00		0 0	0	0.00	10
		ACEPK5955C		1180100	0	0	1180100	23.60	1180100	0	1180100					0 0	857600	72.67	
	Mrs. Kiran M Kumar	AKYPK2089D		90000	0	0	90000	1.80	90000	0	90000			1.80	(0 0	1	(90000
	Mrs. Ritu Joshi	AFDPJ6422R		20	0	0	20	0.00	20	0	20	0.00) (0.00	(0 0	0	(20
	Mr. R N Sood Mr. S C Chowdhary	AJOPS9189H		10		0	10		10	0	10	0.00		0.00	(0 0	0	0.00	
	Central			10	0	0	10	0.00	10	0	10	0.00) (0.00		0	0	0.00	0
	Government/State																		
(b)	Government(s)		0	0	0	0	0	0	0	0	(0)		0 0			0
(-/	Financial	,		,	_		<u> </u>	,	,	Ť	<u> </u>		1				i i	,	
(c)	Institutions/Banks	(0	0	0	0	0	0	0	0	(0) (0	(0 0	0	(0
(d)	Any other (specify)	٫ ا									,				Ι,		ا ا	,	
(u)	Bodies Corporate		4	2478901	0	0	2478901	49.58	2478901	0	2478901	1 49.58	3 (49.58		0 0	i o	0.00	2461200
	Jandwani Poly				-	-													
	Products Pvt Ltd Natrajan Investments	AAACJ3639B		1361200	0	0	1361200	27.22	1361200	0	1361200	27.22	2 (27.22	(0 0	0	(1361200
	& Finance Pvt Ltd	AAACN5008J		820000	0		820000	16.40	820000	0	820000	16.40	، ا	16.40	، ا	0		,	820000
	Jay Dee Holdings Pvt	700101100000		020000		i i	020000	10.40	020000		020000	7 10.40	'	10.40	<u> </u>	9	`	,	020000
	Ltd	AAACJ5318H		280000	0	0	280000	5.60	280000	0	280000	5.60	(5.60	(0 0	0	0.00	250000
	Private Limited (Formerly R Kumar																		
	Investments & Finance Pvt Ltd)	AABCR0022P	1	17701	0	,	17701	0.35	17701	0	17701	0.35		0.35	,	م ا	,		
	Sub-Total (A)(1)		10		Ö	i	3749061	74.98	3749061	0		74.98	d d			0 0	857600	22.88	3731340
(2)	Foreign	(0	0	0	0	0	0	0	0	(0) (0	(0 0	0	(0
	Individuals (Non- Resident Individuals/																		
(a)	Foreign Individuals)	C	0	0	0	0	0	0	0	0	(0) (0	(0 0	0	(0
(b)	Government	C		0	0	0	0	0	0	0	(0 0		0	(0 0		(0
(c)	Institutions Foreign Portfolio	(0	0	1	1	1 0	0	0	- 0	H (0	0	0	 '	0	1	- '	0
(d)	Investor	(0	0	0	0	0	0	0	0	(0) (0	(0 0	0	(0
()	Any other					_			_							_			
(e)	(specify) Sub-Total (A)(2)	(,	0	0	·) 0	0	0	0		0 0		0		0 0) O	(0
	ous Total (A)(2)	ļ ,	1	1	1	†	† • • • • • • • • • • • • • • • • • • •		- ·		†	1	1	-	 '	1	†	— '	1
	Total Shareholding of Promoter and Promoter Group (A)=																		
	(A)(1)+(A)(2)	l	10	3749061	0	0	3749061	74.98	3749061	0	3749061	74.98	<u> </u>	74.98	(0 0	857600	22.88	3731340

Table III - Statement showing shareholding pattern of Public shareholders

								ement showing sl											
		PAN	No. of		No. of partly	No. of shares			Number of Vo			each class	No. of Shares	Shareholding			Numb	er of	Number of
	Name of the		Shareholders	paid up equity	paid up equity	underlying		as a % of total no.		of secu	ırities		underlying	as a % assuming	Nι	ımber of	shares ple		equity shares
	shareholders			shares held	shares held		held	of shares					outstanding	full conversion of	locke	d in shares	otherwise er	ncumbered	held in
						Receipts		(calculated as per	No. of Voting	Rights		Total as	convertible	convertible					dematerialized
								SCRR, 1957)		-		a % of	securities	securities (as a					form
												(A+B+C)	(including	percentage of					
												(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	warrants)	diluted share		As a % of		As a % of	
									Class eg: X	Class	Total			capital)		total		total	
									(having	Eg: Y						shares		shares	
									voting	ľ					No.	held	No.	held	
									rights)						(a)	(b)	(a)	(b)	
							(VII)= (IV)+	(VIII)	rigins)	1		1		(XI) = (VII)+(X)	(ω)	(5)	(ω)	(5)	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(V)+(VI)	As a % of (A+B+C2)		(IX)		(X)	As a % of (A+B+C2)		(XII)	(XI	II)	(XIV)
(1)	Institutions																		
(a)	Mutual Funds	0	0	0	0	0	0	0	0	0	C	0		0) (0) (0	0
	Venture Capital																		
	Funds	0	0	0	0	0	0	0	0	0	C	0)	0) (0 0) (0	C
Į.	Alternate Investment																		
(c)	Funds	0	0	0	0	0	0	0	0	0	0) C	<u> </u>	<u> </u>		<u> </u>		0	
	Foreign Venture																		
(d)	Capital Investors	0	0	0	0	0	0	0	0	0	C	0) (0) () () (0	C
	Foreign Portfolio																		
(e)	Investors	0	0	0	0	0	0	0	0	0	C	0	1	0) (0) (0	C
	Financial									1					1	.1		1	
(f)	Institutions/Banks		1	165000	0	0	165000	3.30	165000	0	165000	3.30	(3.30	() () (0	C
	Punjab State									1					1	1			
	Industrial																		
	Development																		
	Corporation		1	165000	0	0	165000	3.30	165000	0	165000)	1	3.30	(0 0	0	0	0
	Insurance	_	_	_	_	_	_	_	_	_	_			_					_
(g)	Companies	0	0	0	0	0	0	0	0	0	C) ()	0	() () (0	0
(1.)	Provident Funds/						_												
	Persion Funds	0	0	0	0	0	0	0	0	0) (1) () () () (0	0
	Any other (specify)	U	0	165000	0		165000	3.30	165000		165000	3.30						0	0
	Sub Total (B)(1) Central Government/		1	165000	U	U	165000	3.30	165000	U	165000	3.30	1	3.30		, ,	, ,	, ,	U
	Sate Government(s)/Presi																		
	dent of India	0	0	0	0		0	0			,				,				
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0) (0	0
	Non-institutions	U	U	U	U	U	U	U	U	0		,	'	,	'	_	,	0	
	Individuals-															+		1	
	(i) Individual									1		1			1	†	1	1	
	shareholders holding									1					1	1			
	nominal share capital	Ì	1			Ì			1	1		1			1	1			Ì
	upto Rs. 2 lacs		730	106260	0	0	106260	2.13	106260	0	106260	2.13	sl (2.13	1 (ol c		ol o	6250
	(ii) Individual				Ů	i		2.10		T			Ì	1	†`	1	<u> </u>	i	1
	sharehoders holding									1					1	1			
	nominal share capital	1														1			
	in excess of Rs. 2									1					1	1			
	lacs	<u> </u>	4	909729	0	0	909729	18.19	909729	0	909729	18.19	<u> </u>	18.19		<u> </u>	-	<u> </u>	642500
	NBFCs registered														ľ				
	with RBI	0	0	0	0	0	0	0	0	0) c)	0) (0	
	Employee Trusts	0	0	0	0	0	0	0	0	0	C	0		0	(0 0) (0	C
	Overseas																		
	Depositories	1														1			
	(holding DRs)									1					1	1			
	(balancing figure)	0	0	0	0	0	0	0	0	0	C) (1	0) (0) (0	(
	Any other (specify)	0	0	0	0	0	0	0	0	U) () () () (, ,	(
	Bodies Corporate		6	69950	0	0	69950	1.40	69950		69950) () (6830
	Sub Total (B)(3)		740	1085939	0	0	1085939	21.72	1085939	0	1085939	21.72	!	21.72	() () (0.00	717050
	Total Public									1					1	1			
	Shareholding	1	1						1	1		1			1	1		1	
	(B)=B(1)+(B)(2)+	1														.1		1 .	
	(B)(3)		741	1250939	0	0	1250939	25.02	1250939	0	1250939	25.02	1	25.02	. () () (0.00	717050

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder

	Category & Name of the shareholders	PAN	Shareholders	No. of fully paid up equity shares held	equity	No. of shares underlying Deposity Receipts	shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	No. of Voting	No. of Voting Rights Total as c a % of (A+B+C) (i		underlying outstanding convertible securities (including	as a % assuming full conversion of convertible securities (as a percentage of	as a % assuming full conversion of convertible securities (as a percentage of diluted share		Numbo shares ple otherwise er	edged or ncumbered	Number of equity shares held in dematerialized form	
							0.05 (6.6		Class eg: X (having voting rights)	Class Eg: Y	Total		warrantsy	capital)		As a % of total shares held (b)	No. (a)	total shares held (b)	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+ (V)+(VI)	(VIII) As a % of (A+B+C2)		(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)		(XII)	(XII	II)	(XIV)
	Custodian/DR Holder (C)(1)		0	0) 0	C	0	0	C	0	0	0	0	0	0	0	0	0	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014 (C)(2)	C	0	0	0	o	0	0	C	0	0	0	0	0	0	0	0	0	0
	Total Non- Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)	C	0	0	0	C	0	0	C	0	0	0	0	0	0	0	0	0 0	0

Note

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Table IV - Statement showing detail of significant beneficial owners

Sr. No.	Details of significant be	eneficial owner		Details of the register	Details of the registered owner Details of holding/exercise of right of the SBO in the reporting Comwhether direct or indirect (III)						Company,	Date of creation/acquisiti on of significant beneficial interest
	Name	PAN	Nationality	Name	PAN	Nationality	Shares (%)	3 3 ()	Right on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	(IV)
1	Rakesh Mohan Kumar	ACEPK5955C	Indian	Jandwani Poly Products Pvt Ltd	AAACJ3639B	Indian	27.22	27.22	07.06.2011	No	No	07.06.2011
2	Rakesh Mohan Kumar	ACEPK5955C	Indian	Susoka Enterprises Pvt Ltd (Formerly Natrajan Investments & Finance Pvt Ltd)	AAACN5008J	Indian	16.40	16.40	07.06.2011	No	No	07.06.2011
3	Rakesh Mohan Kumar	ACEPK5955C	Indian	Jitya Enterprises Pvt Ltd (Formerly Jay Dee Holdings Pvt Ltd)	AAACJ5318H	Indian	5.60	5.60	07.06.2011	No	No	07.06.2011
4	Rakesh Mohan Kumar	ACEPK5955C	Indian	Kanjam Enterprises Pvt Ltd (Formerly R Kumar Investments & Finance Pvt Ltd)	AABCR0022P	Indian	0.35	0.35	07.06.2011	No	No	07.06.2011