

SAMRAT FORGINGS LIMITED

CIN: U28910CH1981PLC004522

Regd. Office: #406, First Floor, Sector 15-A, Chandigarh - 160015

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Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of the Entity: Samrat Forgings Limited
2. Scrip Code/Name of Scrip/Class of Security: Equity
3. Shareholding Pattern filed under: Reg. 31(1)(b)
4. Report for the quarter ended: 30th September, 2020
5. Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any Shares in locked-in?	Yes	-
5	Whether any shares held by promoters are pledged or otherwise encumbered?	Yes	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X (having voting rights)	Class Eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	9	3749051	0	0	3749051	74.98	3749051	0	3749051	74.98	0	74.98	3749051	100.00	857600	22.88	3749051
(B)	Public	741	1250949	0	0	1250949	25.02	1250949	0	1250949	25.02	0	25.02	10	0.00	NA	NA	717050
(C)	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
	Total	750	5000000	0	0	5000000	100.00	5000000	0	5000000	100.00	0	100.00	3749061				4466101

Note: Details relating to number of shares pledged or otherwise encumbered by Public Shareholders are not applicable and hence not given above.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of shares locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class eg: X (having voting rights)	Class Eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(1) Indian																		
(a) Individuals/ Hindu undivided Family		5	1270150	0	0	1270150	25.40	1270150	0	1270150	25.40	0	25.40	1270150	100.00	857600	67.52	1270150
Smt. Bindu Chowdhary	AFJPC8278A		20	0	0	20	0.00	20	0	20	0.00	0	0.00	20	100	0	0.00	20
Mr. Rakesh M Kumar	ACEPK5955C		1180100	0	0	1180100	23.60	1180100	0	1180100	23.60	0	23.60	1180100	100	857600	72.67	1180100
Mrs. Kiran M Kumar	AKYPK2089D		90000	0	0	90000	1.80	90000	0	90000	1.80	0	1.80	90000	100	0	0.00	90000
Mrs. Ritu Joshi	AFDPJ6422R		20	0	0	20	0.00	20	0	20	0.00	0	0.00	20	100	0	0.00	20
Mr. R N Sood	AJOPS9189H		10	0	0	10	0.00	10	0	10	0.00	0	0.00	10	100	0	0.00	10
(b) Central Government/State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions/Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bodies Corporate		4	2478901	0	0	2478901	49.58	2478901	0	2478901	49.58	0	49.58	2478901	100	0	0.00	2478901
Jandwant Poly Products Pvt Ltd	AAACJ3639B		1361200	0	0	1361200	27.22	1361200	0	1361200	27.22	0	27.22	1361200	100	0	0	1361200
Natrajan Investments & Finance Pvt Ltd	AAACN5008J		820000	0	0	820000	16.40	820000	0	820000	16.40	0	16.40	820000	100	0	0	820000
Jay Dee Holdings Pvt Ltd	AAACJ5318H		280000	0	0	280000	5.60	280000	0	280000	5.60	0	5.60	280000	100	0	0.00	280000
Ranjam Enterprises Private Limited (Formerly R Kumar Investments & Finance Pvt Ltd)	AABCR0022P		17701	0	0	17701	0.35	17701	0	17701	0.35	0	0.35	17701	100	0	0	17701
Sub-Total (A)(1)		9	3749051	0	0	3749051	74.98	3749051	0	3749051	74.98	0	74.98	3749051	100	857600	22.88	3749051
(2) Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	3749051	0	0	3749051	74.98	3749051	0	3749051	74.98	0	74.98	3749051	100.00	857600	22.88	3749051

Table III - Statement showing shareholding pattern of Public shareholders

	Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X (having voting rights)	Class Eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)			
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(f)	Financial Institutions/Banks		1	165000	0	0	165000	3.30	165000	0	165000	3.30	0	3.30	0	0	0	0	
	Punjab State Industrial Development Corporation		1	165000	0	0	165000	3.30	165000	0	165000	3.30	0	3.30	0	0	0	0	
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i)	Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub Total (B)(1)		1	165000	0	0	165000	3.30	165000	0	165000	3.30	0	3.30	0	0	0	0	
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(3)	Non-institutions																		
(a)	Individuals-																		
	(i) Individual shareholders holding nominal share capital upto Rs. 2 lacs		729	106120	0	0	106120	2.12	106120	0	106120	2.12	0	2.12	10	0.00	0	0	6150
	(ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lacs		4	909729	0	0	909729	18.19	909729	0	909729	18.19	0	18.19	0	0	NA	NA	642500
	Mr. Prem Lal	AAKPL5788L		500000	0	0	500000	10.00	500000	0	500000	10.00	0	10.00	0	0	NA	NA	500000
	Mr. Natrajan Chouhan	ADHPC6954D		142500	0	0	142500	2.85	142500	0	142500	2.85	0	2.85	0	0	NA	NA	142500
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Bodies Corporate		7	70100	0	0	70100	1.40	70100	0	70100	1.40	0	1.40	0	0	NA	NA	68400
	Amrex Marketing Pvt Ltd	AAECA3148A		68000	0	0	68000	1.36	68000	0	68000	1.36	0	1.36	0	0	NA	NA	68000
	Sub Total (B)(3)		740	1085949	0	0	1085949	21.72	1085949	0	1085949	21.72	0	21.72	10	0.00	0	0.00	717050
	Total Public Shareholding (B)=B(1)+B(2)+B(3)		741	1250949	0	0	1250949	25.02	1250949	0	1250949	25.02	0	25.02	10	0.00	0	0.00	717050

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder

	Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X (having voting rights)	Class Eg: Y	Total								
(1)	Custodian/DR Holder (C)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014 (C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Note

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Table V - Statement showing detail of significant beneficial owners

Sr. No.	Details of significant beneficial owner (I)			Details of the registered owner (II)			Details of holding/exercise of right of the SBO in the reporting Company, whether direct or indirect (III)					Date of creation/ acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Shares (%)	Voting Rights (%)	Right on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	Rakesh Mohan Kumar	ACEPK5955C	Indian	Jandwani Poly Products Pvt Ltd	AAACJ3639B	Indian	27.22	27.22	27.22	No	No	07.06.2011
2	Rakesh Mohan Kumar	ACEPK5955C	Indian	Susoka Enterprises Pvt Ltd (Formerly Natrajan Investments & Finance Pvt Ltd)	AAACN5008J	Indian	16.40	16.40	16.40	No	No	07.06.2011
3	Rakesh Mohan Kumar	ACEPK5955C	Indian	Jitya Enterprises Pvt Ltd (Formerly Jay Dee Holdings Pvt Ltd)	AAACJ5318H	Indian	5.60	5.60	5.60	No	No	07.06.2011
4	Rakesh Mohan Kumar	ACEPK5955C	Indian	Kanjam Enterprises Pvt Ltd (Formerly R Kumar Investments & Finance Pvt Ltd)	AABCR0022P	Indian	0.35	0.35	0.35	No	No	07.06.2011
5	Rakesh Mohan Kumar	ACEPK5955C	Indian	Rakesh Mohan Kumar	ACEPK5955C	Indian	23.60	23.60	23.60			07.06.2011