## SAMRAT FORGINGS LIMITED

CIN: U28910CH1981PLC004522

Regd. Office: #406, First Floor, Sector 15-A, Chandigarh - 160015

Tel: 0172-2774555, Email: info@samratforgings.com, Website: www.samratforgings.com

## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of the Entity: Samrat Forgings Limited

2. Scrip Code/Name of Scrip/Class of Security: Equity

3. Shareholding Pattern filed under: Reg. 31(1)(b) for the quarter ended September 30, 2018

4. Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any Shares in locked-in?	-	No
5	Whether any shares held by promoters are pledged or otherwise encumbered?	Yes	-

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tebular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of	No. of	No. of fully	No. of partly	No. of shares	Total Nos.	Shareholding	Number of V	oting Righ	its held in ea	ch class of	No. of Shares	Shareholding			Numb	er of	Number of
	shareholder	Shareholders	paid up equity	paid up equity	underlying	shares	as a % of total		secu	ırities		underlying	as a % assuming	Nu	mber of	shares ple	edged or	equity shares
	,		shares held	shares held		held	no. of shares						full conversion of	locked in shares		otherwise encumbered		held in
	!		onaroo nora	onaroo nola	Receipts	o.u		No. of Voting	n Diahte			convertible	convertible	- IOUNG	1		1	dematerialized
	!				ricocipis		per SCRR, 1957)	INO. OF VOLITI	y nigitis				securities (as a					form
	!						per sonn, 1957)				u /0 01							IOIIII
	!									· ·	(A+B+C)		percentage of		As a % of		As a % of	
	!							Class eg: X	Class	Total	ł	,	diluted share		total		total	
	!							_		Total			capital)		shares		shares	
	!							(having	Eg: Y					l				
	!							voting		'				No.	held	No.	held	
	!							riahts)		'				(a)	(b)	(a)	(b)	
						(VII)= (IV)+	(VIII)						(XI) = (VII)+(X)					
(I)	(II)	(III)	(IV)	(V)	(VI)	(V)+(VI)	As a % of (A+B+C2)		(I	IX)		(X)	As a % of (A+B+C2)		(XII)	(XII	II)	(XIV)
	Promoter &									'								
(A)	Promoter Group	11	2891461	0	0	2891461				0 2891461			57.83	3	) (	267731	9.26	
(B)	Public	745	2108539	0	0	2108539	42.17	2108539	9	0 2108539	42.17	0	42.17	' (	) (	) (	0	333650
	Non Promoter-																	
(C)	Non Public	0	0	0	0	0	0.00	C	)	0 0	0	0	0	(	) (	(	0	0
	Shares									'								
(C1)	underlying DRs	0	0	0	0	0	0.00	C	)	0 0	0	0	0		) (	(	0	0
1	Shares held by																	
(C2)	Employee Trusts	0	0	0	0	0	0.00	C	)	0 0	0	0	0		) (	) (		0
1	Total	756	5000000	0	0	5000000	100.00	5000000	)	0 5000000	100.00	0	100.00			267731	9.26	2957370

Note: Details relating to number of shares pledged or otherwise encumbered by Public Shareholders are not applicable and hence not given above.

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held		underlying	Total Nos. shares held	Shareholding as a % of total no. of shares		of secu		each class	No. of Shares underlying outstanding	as a % assuming full conversion of		mber of d in shares	Number of shares pledged or otherwise encumbered		
						Deposity Receipts	l l	per SCRR, 1957)	No. of Votin			Total as a % of (A+B+C)	securities	convertible securities (as a percentage of diluted share		As a % of		As a % of	dematerialized form
									Class eg: X (having voting rights)	Class Eg: Y	Total		,		No. (a)	total shares held (b)	No. (a)	total shares held (b)	
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+ (V)+(VI)	(VIII) As a % of (A+B+C2)		(IX	1		(X)	(XI) = (VII)+(X) As a % of (A+B+C2)		(XII)		(XIII)	(XIV)
(1)	Indian	()	(111)	(14)	(*)	(*1)	(*)+(*1)	AS & 70 OF (ATBTOZ)		(1)	, 		(7)	AS & 70 OF (ATDTOZ)					(XIV)
	1 - 2 - 1 - 1 - 7																		
(a)	Individuals/ Hindu undivided Family		7	412560	n		0 412560	8.25	412560	)	412560	8.25	,	8.25		0 0	3	0.0	1 412520
(ω)	Sh. J C Chowdhary	ABTPC7158H		10	0		0 10		10		10					0 0	) 1	_	
							1	0.00		1	<u> </u>	0.00		0.00	<u> </u>	<u> </u>			
	Smt. Bindu Chowdhary	AFJPC8278A		10	0	)	0 10	0.00	10	0	10	0.00		0.00	(	o o		0.0	o
	Mr. Rakesh M Kumar	ACEPK5955C		322500	0		0 322500	6.45	322500	) (	322500	6.45	5 (	6.45	(	0 0		0	322500
	Mrs. Kiran M Kumar	AKYPK2089D		90000	0		0 90000	1.80	90000	0	90000	1.80	) (	1.80	(	0 0	)	0	90000
	Mrs. Ritu Joshi	AFDPJ6422R		20			0 20		20		20					0 0		0 (	20
	Mr. R N Sood	AJOPS9189H		10			0 10		10		10					0 0	, .		
	Mr. S C Chowdhary			10	0	1	0 10	0.00	1(	) (	10	0.00		0.00		0	1	0 100.0	0
	Central Government/State																		
(b)	Government(s)		0	0	0		0 0	0	(	) (	م ار				(	0		0	0
(2)	Financial			Ĭ	,						`	1				9			
(c)	Institutions/Banks	C	0	0	0	)	0 0	0	(	0	) (	) (	) (	0	(	0 0	)	0 (	0
(d)	Any other (specify)			,	0				,						ļ ,				
(u)	Bodies Corporate		4	2478901	0		0 2478901	49.58	2478901	1 0	2478901	49.58	3 (	49.58	<del>                                     </del>	0 0	26770	1 10.8	2211200
	Jandwani Poly				-											-			
	Products Pvt Ltd	AAACJ3639B		1361200	0	)	0 1361200	27.22	1361200	) (	1361200	27.22	2 (	27.22	(	0 0	)	0 (	1361200
	Natrajan Investments & Finance Pvt Ltd	AAACN5008J		820000	0		0 820000	16.40	820000		820000	16.40		16.40	ļ ,				820000
	Jay Dee Holdings Pvt	AAACINSUU03		620000	0	'	0 820000	10.40	620000	, .	020000	10.40		10.40	<del>  '</del>	0 0	'	,	620000
	Ltd	AAACJ5318H		280000	0	1	0 280000	5.60	280000	0	280000	5.60		5.60	(	0 0	25000	0 89.2	30000
	R Kumar Investments																		
	& Finance Pvt Ltd Sub-Total (A)(1)	AABCR0022P	11	17701 <b>2891461</b>	0		0 17701 0 2891461	0.35 <b>57.83</b>	17701 <b>289146</b> 1		17701 2891461	0.35 57.83		0.35 57.83		0 0	1770 26773		
(2)	Foreign	(	) 0	2091401	0		0 2091401	0	209140	) (	2031401	) (	) (	0 0		0 0	20773	0	0 2023720
\-/		1	Ì	Ĭ	,		<u> </u>			<u> </u>	Ť Š	†	1	1	<u> </u>	1		1	· ·
	Individuals (Non-																		
(a)	Resident Individuals/ Foreign Individuals)			_	_				,		,	, ,		_	,		,	ا ا	ا ا
(a) (b)	Government	0	) 0	0	0	1	0 0	0	(					0	1	0 0	)	0	) 0
(c)	Institutions		0	0	0		0 0	0						0		0 0		0	0
4.0	Foreign Portfolio																		
(d)	Investor Any other	- 0	0	0	0		0 0	0	(	) C		) (	) (	0	(	U C	)	U (	0
(e)	(specify)	(	0	0	0		0 0	0	ſ	) d	) (	) (	) (	0		0 0		0	اه او
\-/	Sub-Total (A)(2)	ď	0	0	0		0 0	0	(	o o				0		0 0		0	0
	Total Shareholding of Promoter and Promoter Group (A)=																		
	(A)(1)+(A)(2)		11	2891461	0		0 2891461	57.83	2891461	0	2891461	57.83	3 (	57.83		0 0	26773	1 9.2	6 2623720

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Table III - Statement showing shareholding pattern of Public shareholders

IS	lame of the hareholders		Shareholders	paid up equity	paid up equity	underlying	shares as a % of total no. held of shares		Number of V	oting Hig of sec	hts held in each class urities	underlying outstanding	as a % assuming full conversion of		Number of shares pledged or locked in shares otherwise encumbere			
						Receipts		(calculated as per SCRR, 1957)	No. of Voting	g Rights	Total as a % of (A+B+C)	convertible securities (including warrants)	convertible securities (as a percentage of diluted share				As a % of	dematerialized form
									voting	Class Eg: Y	Total	warrantsy	capital)	No.	As a % of total shares held		total shares held	
_							(VII)= (IV)+	(VIII)	riahts)				(XI) = (VII)+(X)	(a)	(b)	(a)	(b)	
(1) Ir	(I) nstitutions	(II)	(III)	(IV)	(V)	(VI)	(V)+(VI)	As a % of (A+B+C2)		(D	() 	(X)	As a % of (A+B+C2)		(XII)	(XII	)	(XIV)
	/lutual Funds		0 0	0	0	0	0	0	C	0	0 0	C	0	) (	0	C	0	0
	enture Capital									.] ,								
	unds Alternate Investment		0 0	0	0	0	0	0	-		0 0	9	0	) (	0	(	0	0
(c) F	Funds		0 0	0	0	0	0	0	C	) (	0 0	C	0	) (	0	(	0	0
	oreign Venture					_	_	_						J ,			,	,
	Capital Investors  Foreign Portfolio		0	0	0	0	0	0	C		0 (	0	0	(	0	(	0	0
	nvestors		0 0	0	0	0	0	0		0	ol d	) c	0		0		0	0
F	inancial																	
	nstitutions/Banks		1	165000	0	0	165000	3.30	165000	0	165000	C	3.30	) (	0	C	0	0
	Punjab State ndustrial																	
	Development																	
	Corporation		1	165000	0	0	165000	3.30	165000		165000	C	3.30	) (	0	C	0	0
	nsurance																	
	Companies		0 0	0	0	0	0	0	С	0	0 0	C	0	) (	0	C	0	0
	Provident Funds/ Persion Funds			,	0	_	٥	0	, ا						0	,		
	any other (specify)		0 0	0	0	0	0	0			0 0		0		0 0	(		0
	Sub Total (B)(1)		1	165000	0	0	165000	3.30	165000		165000	O	3.30		0	ď	0	
	Central Government/																	
	Sate																	
	Government(s)/Presi lent of India			,	0	١	٥	0	,	ر ا					0	,		
	Sub Total (B)(2)		o o	0	0	0	0	0					0				0	
(3) N	lon-institutions																<u> </u>	
	ndividuals-																	
	) Individual hareholders holding																	
	ominal share capital																	
u	pto Rs. 2 lakhs		- 734	106510	0	0	106510	2.13	106510	0	106510		2.13	3 (	0		0	2550
(1	i) Individual																	
	harehoders holding ominal shaer capital																	
	n excess of Rs. 2																	
la	akhs		- 3	409729	0	n	409729	8.19	409729	) (	409729	0	8.19	) (	n (c	(	) (	142500
N	IBFCs registered		1			İ	.30.20	3.10					3.10	1		<u> </u>		
(b) w	vith RBI		0 0	0	0	0	0	_	C		9	0	0	) (	0	C	0	,
(c) E	mployee Trusts Overseas		0 0	0	0	0	0	0	C	0 0	0 0	)	0	) (	0		0	0
	Depositories																	
	holding DRs)																	
(d) (b	balancing figure)		0 0	0	0	0	0	0	С		0 0	) C	0	) (	0	C	0	0
(e) A	any other (specify)		0 0			0	1407200		1407000				0	' I \		2	) 0	0
- B	Bodies Corporate  Sub Total (B)(3)		744	1427300 1943539		0	1427300 1943539	28.55 <b>38.87</b>	1427300 <b>194353</b> 9		1427300 0 1943539 0		28.55 38.87		0 0	(		.00000

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							i age +										
Total Public													1				
Shareholding																	
(B)=B(1)+(B)(2)+																	
(B)(3)	745	2108539	0	0	2108539	42.17	2108539	0	2108539	) (	42.17	·l o	ار	0	0	0	333650

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder

	Category & Name of the shareholders	PAN	No. of Shareholders	paid up equity	equity	No. of shares underlying Deposity Receipts		Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of V	of secur	ities	Total as	No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share		mber of d in shares As a % of	Numb shares pl otherwise e	edged or ncumbered As a % of	Number of equity shares held in dematerialized form
									Class eg: X (having voting rights)	Class Eg: Y	Total		warranto,	capital)	No. (a)	total shares held (b)	No. (a)	total shares held (b)	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+ (V)+(VI)	(VIII) As a % of (A+B+C2)		(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)		(XII)	(XI	II)	(XIV)
(1)	Custodian/DR Holder	(/	) (,	) (**/	) 0	(11)	0 0	0	(	) ()			) (2)	0	0	(,,,,,	(2	0 (	) 0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014																		
	Total Non- Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)	C	0	0	0	C	0	0	(	0 0	(	0 0	0	0	0	0		0 0	0

## Note

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available