

SAMRAT FORGINGS LIMITED

CIN: U28910CH1981PLC004522

Regd. Office: #406, First Floor, Sector 15-A, Chandigarh - 160015

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Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of the Entity: Samrat Forgings Limited
2. Scrip Code/Name of Scrip/Class of Security: Equity
3. Shareholding Pattern filed under: Reg. 31(1)(b) for the quarter ended September 30, 2016
4. Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any Shares in locked-in?	-	No
5	Whether any shares held by promoters are pledged or otherwise encumbered?	Yes	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Category	Category of shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: X (having voting rights)	Class Eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	11	3033961	0	0	3033961	60.68	3033961	0	3033961	60.68	0	60.68	0	0	267731	8.82	2766220
(B)	Public	748	1966039	0	0	1966039	39.32	1966039	0	1966039	39.32	0	39.32	0	0	0	0	188900
(C)	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
	Total	759	5000000	0	0	5000000	100.00	5000000	0	5000000	100.00	0	100.00	0	0	267731	8.82	2955120

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X (having voting rights)	Class Eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
(1)	Indian																		
(a)	Individuals/ Hindu undivided Family		7	555060	0	0	555060	11.10	555060	0	555060	11.10	0	11.10	0	0	30	0.01	555020
	Sh. J C Chowdhary	ABTPC7158H		10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0	10	100.00	0
	Smt. Bindu Chowdhary	AFJPC8278A		10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0	0	0.00	0
	Mr. Rakesh M Kumar	ACEPK5955C		465000	0	0	465000	9.30	465000	0	465000	9.30	0	9.30	0	0	0	0	465000
	Mrs. Kiran M Kumar	AKYPK2089D		90000	0	0	90000	1.80	90000	0	90000	1.80	0	1.80	0	0	0	0	90000
	Mrs. Ritu Joshi	AFDPJ6422R		20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0	0	0	20
	Mr. R N Sood	AJOPS9189H		10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0	10	100.00	0
	Mr. S C Chowdhary			10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0	10	100.00	0
(b)	Central Government/State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Bodies Corporate		4	2478901	0	0	2478901	49.58	2478901	0	2478901	49.58	0	49.58	0	0	267701	10.80	2211200
	Jandwani Poly Products Pvt Ltd	AAACJ3639B		1361200	0	0	1361200	27.22	1361200	0	1361200	27.22	0	27.22	0	0	0	0	1361200
	Natrajan Investments & Finance Pvt Ltd	AAACN5008J		820000	0	0	820000	16.40	820000	0	820000	16.40	0	16.40	0	0	0	0	820000
	Jay Dee Holdings Pvt Ltd	AAACJ5318H		280000	0	0	280000	5.60	280000	0	280000	5.60	0	5.60	0	0	250000	89.29	30000
	R Kumar Investments & Finance Pvt Ltd	AABCR0022P		17701	0	0	17701	0.35	17701	0	17701	0.35	0	0.35	0	0	17701	100	0
	Sub-Total (A)(1)		11	3033961	0	0	3033961	60.68	3033961	0	3033961	60.68	0	60.68	0	0	267731	8.82	2766220
(2)	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		11	3033961	0	0	3033961	60.68	3033961	0	3033961	60.68	0	60.68	0	0	267731	8.82	2766220

Table III - Statement showing shareholding pattern of Public shareholders

	Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of shares locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total shares held	No.	As a % of total shares held	
									Class eg: X (having voting rights)	Class Eg: Y								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(1)	Institutions																	
(a)	Mutual Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f)	Financial Institutions/Banks		1	165000	0	0	165000	3.30	165000	0	165000	0	3.30	0	0	0	0	0
(g)	Punjab State Industrial Development Corporation Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h)	Provident Funds/ Persion Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i)	Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (B)(1)		1	165000	0	0	165000	3.30	165000	0	165000	0	3.30	0	0	0	0	0
(2)	Central Government/ State Government(s)/President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(3)	Non-institutions																	
(a)	Individuals-																	
	(i) Individual shareholders holding nominal share capital upto Rs. 2 lakhs		738	106510	0	0	106510	2.13	106510	0	106510	0	2.13	0	0	0	0	300
	(ii) Individual sharehoders holding nominal shaer capital in excess of Rs. 2 lakhs		2	267229	0	0	267229	5.34	267229	0	267229	0	5.34	0	0	0	0	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Bodies Corporate		7	1427300	0	0	1427300	28.55	1427300	0	1427300	0	28.55	0	0	0	0	188600
	Sub Total (B)(3)		747	1801039	0	0	1801039	36.02	1801039	0	1801039	0	36.02	0	0	0	0	188900
	Total Public Shareholding (B)=B(1)+(B)(2)+(B)(3)		748	1966039	0	0	1966039	39.32	1966039	0	1966039	0	39.32	0	0	0	0	188900

Details of the shareholders acting as persons in concert including their shareholding (No. and %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r. t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder

	Category & Name of the shareholders	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying Depository Receipts	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X (having voting rights)	Class Eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available